



# INDSEC SECURITIES AND FINANCE LTD.

• Corporate Members •

Regulated by SEBI

• Depository Participant •

BSE Ltd.  
National Stock Exchange of India Ltd.  
Metropolitan Stock Exchange of India Ltd.

National Securities Depository Ltd.

• Portfolio Manager •

## INVESTOR COMPLAINTS DATA – PORTFOLIO MANAGEMENT SERVICES

[SEBI Registration No. INP000001892]

| Data for Month ended | December 2025 |
|----------------------|---------------|
|----------------------|---------------|

| SN | Received from           | Pending at the end of the last month | Received   | Resolved   | Total Pending | Pending complaints > 3 months | Average Resolution time (in days) |
|----|-------------------------|--------------------------------------|------------|------------|---------------|-------------------------------|-----------------------------------|
| 1  | Directly from Investors | NIL                                  | NIL        | NIL        | NIL           | NIL                           | N.A.                              |
| 2  | SEBI (SCORES)           | NIL                                  | NIL        | NIL        | NIL           | NIL                           | N.A.                              |
| 3  | Other Sources (if any)  | NIL                                  | NIL        | NIL        | NIL           | NIL                           | N.A.                              |
|    | <b>Grand Total</b>      | <b>NIL</b>                           | <b>NIL</b> | <b>NIL</b> | <b>NIL</b>    | <b>NIL</b>                    | <b>N.A.</b>                       |

### Trend of monthly disposal of complaints

| SN  | Month              | Carried forward from previous month | Received   | Resolved   | Pending    |
|-----|--------------------|-------------------------------------|------------|------------|------------|
| 1   | 2                  | 3                                   | 4          | 5          | 6          |
| 1.  | April 2025         | NIL                                 | NIL        | NIL        | NIL        |
| 2.  | May 2025           | NIL                                 | NIL        | NIL        | NIL        |
| 3.  | June 2025          | NIL                                 | NIL        | NIL        | NIL        |
| 4.  | July 2025          | NIL                                 | NIL        | NIL        | NIL        |
| 5.  | August 2025        | NIL                                 | NIL        | NIL        | NIL        |
| 6.  | September 2025     | NIL                                 | NIL        | NIL        | NIL        |
| 7.  | October 2025       | NIL                                 | NIL        | NIL        | NIL        |
| 8.  | November 2025      | NIL                                 | NIL        | NIL        | NIL        |
| 9.  | December 2025      | NIL                                 | NIL        | NIL        | NIL        |
| 10. | January 2026       | -                                   | -          | -          | -          |
| 11. | February 2026      | -                                   | -          | -          | -          |
| 12. | March 2026         | -                                   | -          | -          | -          |
|     | <b>Grand Total</b> | <b>NIL</b>                          | <b>NIL</b> | <b>NIL</b> | <b>NIL</b> |

### Trend of Annual Disposal of Complaints

| SN | Year               | Carried forward from previous year | Received   | Resolved   | Pending    |
|----|--------------------|------------------------------------|------------|------------|------------|
| 1. | 2021-22            | NIL                                | NIL        | NIL        | NIL        |
| 2. | 2022-23            | NIL                                | NIL        | NIL        | NIL        |
| 3. | 2023-24            | NIL                                | NIL        | NIL        | NIL        |
| 4. | 2024-25            | NIL                                | NIL        | NIL        | NIL        |
| 5. | 2025-26            | NIL                                | NIL        | NIL        | -          |
|    | <b>Grand Total</b> | <b>NIL</b>                         | <b>NIL</b> | <b>NIL</b> | <b>NIL</b> |

CIN : U67120MH1993PLC073185 PAN : AAACI1958G GSTIN : 27AAACI1958G1ZL

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